

# EXHIBIT 6

6 records: [476700] [476787] [476838] [476987] [478769] [478873]

Owner CSR	Other CSRs	From Cust	To Cust	Internal	System
476700	2007-10-19 01:28:23	Customer: doroz222/U412281	2007-10-19 01:46:10	Customer	Chat
		Subject: Customer Chat			
		Topics: 1404 -> TWS, Web			
mattm	Closed	Trader, Mobile Trader		Low	Language [Top]
		Operation			
2007					
gareth	CRM 10	1409303	Customer Chat		
		19 01:28:23			

[132823] ChatSys -> This chat is associated with ticket #476700. Please record this number for use in future inquiries. You are currently in room 'Technical Assistance'.

[132823] gareth -> Hello, this is 'Gareth'. How may I help you?

[132832] doroz222 -> Hello.

[132833] doroz222 -> There is something wrong with my Trader Workstation

[132925] doroz222 -> There is some kind of error message and I cant buy stocks...

[133112] doroz222 -> I tried to remstall it, but it did not help.

[133249] gareth -> what is the error?

[133350] doroz222 -> it was something like lig or liq

[133426] doroz222 -> but with CAPITAL LETTER

[133432] doroz222 -> LIG

[133443] gareth -> one moment please. I will have to get you over to Trade Problems for assistance with this

[133508] gareth -> seems like you account may be in Ligation mode

[133513] gareth -> one second please

[133517] ChatSys -> Transferring ChatSession from 'Technical Assistance' to 'Trade Problems'

[133526] doroz222 -> what does it mean?

[133544] ChatSys -> Matt M has joined the chat

[133544] mattm -> Hello, this is Matthew. How can I help you?

[133544] doroz222 -> I have not changed any mode

[133557] mattm -> I am reviewing the details of the previous chat conversation. One moment please.

[133632] doroz222 -> How can I change it back?

[134010] doroz222 -> test

[134022] doroz222 -> are you still there?

2007

10  
19 01:46:10

[134025] mattm -> yes  
[134042] mattm -> the account is being reviewed by compliance and will only be able to place closing trades  
[134053] mattm -> this will be the case until further notice  
[134120] doroz222 -> what does is mean?  
[134153] mattm -> closing orders only  
[134158] mattm -> you cannot open new positions  
[134206] doroz222 -> why?  
[134228] doroz222 -> what are you reviewing there?  
[134240] doroz222 -> and who is compliance?  
[134258] mattm -> additional information cannot be provided  
[134307] mattm -> you will be informed when the restriction has been lifted  
[134327] doroz222 -> I do not understand  
[134354] doroz222 -> Do you have some kind of technical problems there?  
[134411] mattm -> no  
[134418] mattm -> compliance is our legal department  
[134428] mattm -> you will be notified when you can place opening trades  
[134503] doroz222 -> so I have to wait e-mail?  
[134513] mattm -> you will be notified when you can place opening trades  
[134531] doroz222 -> is it so just with me or all clients?  
[134540] mattm -> just to you  
[134543] mattm -> Is there anything else that I can assist you with today?  
[134556] doroz222 -> you are assisting me now already  
[134608] mattm -> Please feel free to return to chat if there are any additional questions.  
[134609] doroz222 -> but I still do not understand very much  
[134609] ChatSys -> This chat session is being terminated by the CSR

mattm CRM 1409408

476787 2007-10-19 01:55:55 Customer: doroz222/U412281 2007-10-19 02:10:44 Customer Chat  
Subject: Customer Chat  
Topics: 1404 -> TWS Web Trader Mobile Trader  
mattm Closed Operation Low Language [Top]  
2007  
lwong CRM 10 1409469 Customer Chat  
19 01:55:55

2007  
-  
mattm CRM 10 1409522 Fri Oct 19 10:21:16 EDT 2007 - weblightool - User  
- U412281 was liqOnly enable by jeffb  
19 02:03:21 Reason:reviewing trading activity in RX (IAB)

[135555] ChatSys -> This chat is associated with ticket #476787. Please record this number for use in future inquiries. You are currently in room 'Technical Assistance'.

[135555] lwong -> Hello, this is 'lwong'. How may I help you?

[135605] doroz222 -> Hello

[135622] doroz222 -> I just chated with somebody, but I did not get the answer

[135658] lwong -> ok, what is is the question?

[135716] doroz222 -> he said, that I cant trade now until further notice, but he did not explain why and how long

[135822] lwong -> I cannot see this dialog you had previously.. Can you explain what the problem was

[135859] doroz222 -> my trader workstation gives me error massage when I want to buy stocks

[135949] doroz222 -> he told me, that account is Ligation mode

[140003] doroz222 -> but he did not tell me why and how long

[140023] doroz222 -> chat was ended befor I got the answer

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mattm CRM 10 1409572 [140115] lwong -> The Trade Problems department has more information on this subject. I can transfer you to them.  
19 02:10:44

[140118] ChatSys -> Transferring ChatSession from 'Technical Assistance' to 'Trade Problems'

[140131] doroz222 -> okay

[140246] ChatSys -> Matt M has joined the chat

[140246] mattm -> Hello, this is Matthew. How can I help you?

[140255] doroz222 -> hello

[140258] mattm -> I am reviewing the details of the previous chat conversation. One moment please.

[140336] mattm -> yes, I believe we just discussed this

[140341] doroz222 -> I just chat with somebody

[140352] doroz222 -> so it was you

[140358] mattm -> correct

[140404] doroz222 -> you did not answer my question

[140416] doroz222 -> why is this mode and how long

[140430] doroz222 -> how long does it usually take?

[140629] ChatSys -> No activity from customer side in the last 30 seconds. Session may be terminated by CSR, if customer does not respond



[140705] mattm -> i believe that I did  
[140713] mattm -> I informed you that you would  
be notified  
[140721] mattm -> when the restriction has been  
lifted  
[140731] mattm -> a timeframe cannot be provided  
[141043] ChatSys -> This chat session is being  
terminated on customer request

476838 2007-10-19 02:15:34 Customer:  
doroz222/U412281 2007-10-19 02:29:45 Customer Chat  
Subject: Customer Chat  
Topics: 1405 -> Liquidation Low Language [Top]  
mattm Closed  
2007

megan CRM 10 1409599 Customer Chat  
19 02:15:34

[141534] ChatSys -> This chat is associated with  
ticket #476838. Please record this number for use in  
future inquiries. You are currently in room 'Trade  
Problems'  
[141534] meganc -> Hello, this is 'meganc'. How  
may I help you?  
[141543] doroz222 -> hello  
[141547] meganc -> hello  
[141609] doroz222 -> Im trying to understand why I  
can not trade?  
[141636] meganc -> alright one moment  
[141701] meganc -> alright  
[141711] doroz222 -> I somebody already told me,  
that I just cant, but he did not explain why and how  
long.  
[141715] meganc -> one moment please  
[141724] ChatSys -> ChatSession transferred from  
'Trade Problems' to 'Matt M' in 'Trade Problems'  
[141726] ChatSys -> Matt M has joined the chat  
[141726] mattm -> Hello, this is Matthew. How can  
I help you?  
[141728] doroz222 -> He was a bit rude and  
terminated chat.  
[141745] mattm -> it appears that you terminated the  
last chat  
[141755] mattm -> is there some more information  
that I can provide for you  
[141800] doroz222 -> not intentionally  
[141822] doroz222 -> yes, Im still asking why is this  
mode active now and how long?  
[141852] doroz222 -> I do not like if I cant access to  
my money and take positions every time I want.  
[141853] mattm -> unfortunately the answer is not

going to change  
[141902] mattm -> a timeframe cannot be provided  
[141916] doroz222 -> but you do not answer to my question!  
[141916] mattm -> you will be informed when the restriction has been lifted  
[141924] mattm -> the account is being reviewed  
[141932] mattm -> that is all the information that can be provided  
[141933] doroz222 -> what does it mean?  
[141937] mattm -> that is all the information that can be provided  
[141941] doroz222 -> what is there to review?  
[141949] doroz222 -> no, you can do better  
[141949] mattm -> you will be informed when the status changes  
[141958] doroz222 -> my account is already accepted  
[142008] doroz222 -> this is not what Im asking  
[142019] doroz222 -> Im not asking do you inform me!  
[142042] doroz222 -> Im asking why I cant trade and what is there to review?  
[142045] mattm -> as has been indicated multiple times previously  
[142057] mattm -> you trading activity is being reviewed by compliance.  
[142105] mattm -> while it is being reviewed  
[142107] doroz222 -> trading activity?  
[142111] mattm -> you can only place closing trades  
[142119] mattm -> you will be notified when this changes  
[142127] doroz222 -> wait a second.  
[142135] doroz222 -> what does it mean?  
[142140] doroz222 -> trading activity?  
[142147] mattm -> that is all that can be told  
[142155] doroz222 -> I know how to buy and sell!  
[142217] mattm -> no additional information can be provided until compliance completes their review  
[142224] doroz222 -> Your job is to talk to clients.  
[142243] doroz222 -> I have questions and your job is to chat with me I think.  
[142245] mattm -> I have  
[142257] mattm -> unfortunately you are not accepting the information that I am providing  
[142308] mattm -> i understand the frustration of not understanding  
[142311] mattm -> however  
[142317] doroz222 -> Im accepting, but this is not enough.  
[142325] mattm -> no additional information can be provided  
[142332] mattm -> i have told you all that I can

2007

mattm CRM TO 1409681

19 02 29:45

[142333] doroz222 -> yes you can  
 [142336] mattm -> no additional information can be provided until compliance completes their review  
 [142400] doroz222 -> how long do you review my trading activiti  
 [142414] doroz222 -> there is not much to review  
 [142426] doroz222 -> I just started trading  
 [142426] mattm -> no additional information can be provided until compliance completes their review  
 [142456] doroz222 -> how long does it take to review?  
 [142501] doroz222 -> a day?  
 [142507] doroz222 -> or two days?  
 [142528] mattm -> i have responded to that question multiple times already but would be happy to again  
 [142535] mattm -> a timeframe cannot be provided  
 [142538] mattm -> U412281  
 [142547] mattm -> no additional information can be provided until compliance completes their re

476987 2007-10-19 02:37:11 Customer: doroz222/U412281 2007-10-19 02:38:51 Customer Chat  
 Subject: Customer Chat  
 Topics: 1405 -> Liquidation Low Language [Top]

2007

meganc CRM 10 1409742 Customer Chat  
 19-02:37:11

2007

meganc CRM 10 1409751  
 19-02:38:51  
 [143711] ChatSys -> This chat is associated with ticket #476987. Please record this number for use in future inquiries. You are currently in room 'Trade Problems'.  
 [143711] meganc -> Hello, this is 'meganc'. How may I help you?  
 [143736] meganc -> Like you have been told before in previous chats.  
 [143740] meganc -> compliance is reviewing your account  
 [143746] meganc -> and no further information can be given at this time  
 [143850] ChatSys -> This chat session is being terminated by the CSR.

478769 2007-10-22 09:23:09 Customer: doroz222/U412281 2007-10-22 09:38:56 Customer Chat  
 Subject: Customer Chat  
 Topics: 1405 -> Liquidation Low Language [Top]

2007



ferni CRM 10 1412911 Customer Chat  
22 09:23:09

478873 2007-10-22 09:38:59 Customer: doroz222/U412281 2007-10-22 10:03:10 Customer Chat  
Subject: Customer Chat  
Topics: 1399 -> Account Configuration/Permissions Low Language [Top]  
2007

ricoe CRM 10 1413076 Customer Chat  
22 09:38:59

[093859] ChatSys -> This chat is associated with ticket #478873. Please record this number for use in future inquiries. You are currently in room General

[093900] ricoe -> Hello, this is Rico. How may I help you?

[093918] doroz222 -> Hello

[093936] doroz222 -> Please try to be here and not to go away

[093951] doroz222 -> I had a chat with your colleagues on friday

[094000] doroz222 -> the problem is, that I can't trade and I do not understand why and how long

[094120] doroz222 -> I want to know why I can't trade and on what grounds are you preventing me from trading with my own money?

[094203] ricoe -> what exactly are you trying to trade, and what exactly happens when you place an order, do you get an error message?

[094224] doroz222 -> yes, message sais ligoonly

[094305] ricoe -> ok, let me go and check, please hold on

[094441] doroz222 -> on what grounds are you doing that? please show me that section on my customer agreement that permits you to block my trading

[094548] ricoe -> according to my information here, this was done by our compliance department. Unfortunately I can not check the reason why. I will contact them right now, so they could contact you by phone to discuss this. Please hold on

[094741] doroz222 -> okay, but still - give me this part of customer agreement that allows you to block my account with no explanation!

[095033] ricoe -> ok, I just talked to our Compliance Department and this account is currently under investigation. Once this investigation has been



finished you will be contacted by our Compliance Department. Would you be reachable under +7 916 245 6491 ?

[095126] doroz222 -> yes, but I'm not satisfied with such solution!

[095222] doroz222 -> I want to know EXACT agreement or stock exchange rule that gives you the right to block my account!

[095305] doroz222 -> and I'd like to get your Compliance Department contacts and name of this person who is investigating my account!

[095414] doroz222 -> I'd like to get the answer today and not to wait another day without any explanation!

[095418] nicoe -> unfortunately I can not assist you further regarding this problem, as explained our Compliance department is working on it and you will get a reply once this investigation is finished.

[095432] nicoe -> I unfortunately can not give you any further information.

[095452] doroz222 -> Is this the american dream how you understand it? Block clients money and not to explain what is going on??

[095537] nicoe -> you have to wait until you get contacted by our Compliance

[095546] doroz222 -> Give me the contact of this compliance department

[095622] doroz222 -> my US lawyer wants to talk with those people!

[095711] doroz222 -> this is not funny anymore, you are braking the law if you are blocking my money and not explain to me why and how long!

[095807] doroz222 -> I have to know on what grounds are you blocking my trades and you HAVE TO tell me.

[095921] nicoe -> ok, you could provide me your lawyers name and address so I could pass this information along to our compliance department

[100013] doroz222 -> no, my lawyer will contact you TODAY.

[100051] doroz222 -> I don't want to wait until your compliance department calls to my lawyer someday in the future.

[100122] doroz222 -> Start acting like a customer service

[100157] nicoe -> I see that you have already talked to us a few times on this, and you received all the time the same answer. You could provide me now your lawyers information so I could forward this to our compliance department, otherwise I would have to end now the chat.

[100213] doroz222 -> I will pass this chat to the lawyer and it will not look good when you are not

2007  
" CRM 10 1413286  
: 22 10:03:10

giving me information I have right to know.

[100300] doroz222 -> You have not giving me any answers!

[100304] ricoe -> Sir, I can just provide the information which Im allowed too. I will end now this conversation. Good bye.

[100308] ChatSys -> This chat session is being terminated by the CSR

2007

ricoe

CRM 10

1413482

talked to Jeff Bauch regarding this; im not allowed to provide any information regarding the current investigation on that account, I told him that 3 times and then I closed the chat, customer has to wait for the reply from Compliance

22 10:23:09